

Cobalt Supply Chain Due-diligence Report 2024

RMI RMAP Responsible Mineral Supply Chain
Due-diligence Management Team

December 2024



Core Service, Max Value

Introduction

Uranus Chemicals recognizes its responsibility to Taiwan's industry, society, and economic growth. With steadfast footprints, we prioritize social harmony and sustainable development, embodying corporate social responsibility.

We relentlessly pursue excellence in economics, society, and the environment, aiming for flawless products, incident-free safety, and a pollution-free environment.

Looking ahead, Uranus Chemicals will expand rechargeable lithium-ion batteries materials capacity, maintain production quality, and innovate for customer needs.

As a part of the chemical industry, we confidently embrace challenges, committed to fulfilling our promise of sustainable societal well-being.

Introduction to the report

Scope of the Report

The report is published by Uranus Chemicals Corporation for cobalt supply chain due-diligence purpose for the year 2023, covering every management activity of cobalt supply chain due-diligence from 3 October 2023 to 31 August 2024.

Principles of the Report

Uranus Chemicals prepares this report in pursuance of the outline of 《OECD Due Diligence Guidance for Responsible Supply Chains of Mineral from Conflict-Affected and High-Risk Areas》 and 5 steps mechanism. We refer to the "Cobalt Refiner Supply Chain Due Diligence Standard" developed in collaboration with the Responsible Cobalt Initiative (RCI) and the Responsible Minerals Initiative (RMI), Uranus Chemicals recognizes that community development is an important part of corporate sustainability, so has added 6 steps: community participation. Uranus Chemicals states and reports on the performance of the due diligence management of cobalt per OECD 6 steps mechanism.

Reporting Route

1. The cobalt supply chain due-diligence report has been published on Uranus Chemicals official website under section Corporate Social Responsibilities.
<https://www.uranuschemicals.com.tw/zh-tw/responsible-minerals-management>
2. The publication is once a year and will be updated regularly as needed.

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1. Company Introduction



1-1 Company Profile

Company name: Uranus Chemicals Corporation

Company address: No. 18, Shijian Rd., Hukou Township, Hsinchu County 303035, Taiwan, R.O.C.

Address of cobalt refining factory: 440 Zonghua Road, Toufen City, Miaoli County, Taiwan, R.O.C.

Processing material: Crude Cobalt Hydroxide

Period of assessment on the report: from 3 October 2023 to 31 August 2024.

Assessment conclusion website: <https://www.uranuschemicals.com.tw/zh-tw/responsible-minerals-management>

The report prepared by: RMI RMAP Responsible Mineral Supply Chain Due-diligence Management Team

1-2 Company Background

Founded in 1975, Uranus Chemicals CO., LTD. specializes in the production of oxalic acid using self-developed proprietary technology. The products and services of Uranus Chemicals includes Oxalic Acid, Battery materials (Cobalt Sulfate), Electronic grade chemicals (ITO-08N, Potassium Hydroxide...etc.) and Specialty chemicals (Ceric Ammonium Nitrate, Tin(II) Chloride Dihydrate). Uranus Chemicals Co., Ltd. is across three different regions in Taiwan, namely, Hsinchu County, Miaoli County and Taichung City respectively. In 2018, Toufen refinery at Miaoli County was established to process Crude Cobalt Hydroxide, to produce battery cathode raw material, such as Cobalt Sulfate and other specialty chemicals such as Cobalt Oxide and Cobalt Hydroxide.

1-3 Core values and behavioral indicators

Uranus Chemicals follows the established strategy of "whole-process product management" and strives to cultivate outstanding talents and innovative technology production, to provide high-quality products and services to meet customers' expectations and improve Uranus Chemicals 's business performance. Uranus Chemicals is committed to continuous improvements in all aspects of its operations and to create a common vision between colleagues.

In 2020, Uranus Chemicals announced its 5 major core values and 25 key behavioral indicators, and initially evaluated its executive managers on the basis of the "Evaluation Form for Core Values and Key Behavioral Indicators". In 2021, the Company continues to evaluate all employees. We expect that all group-wide employees should uphold the same values and should display the behavior expected by the Company in their everyday working attitude and working methods. This will ensure cohesion among our employees, encourage everyone to work toward the same shared goals, and ultimately build a great corporate culture.

Core values and conduct guidelines



2. Implementation of Supply Chain Due-diligence Management

6 Steps approach



5 steps approach in accordance with 《OECD Regarding Due Diligence Guidance for Responsible Supply Chains of Mineral from Conflict-Affected and High-Risk Areas》

Step 6 refers to the 《"Cobalt Refiner Supply Chain Due Diligence Standard" developed in collaboration with the Responsible Cobalt Initiative (RCI) and the Responsible Minerals Initiative (RMI)》

2-1 Step 1: Establish strong company management systems

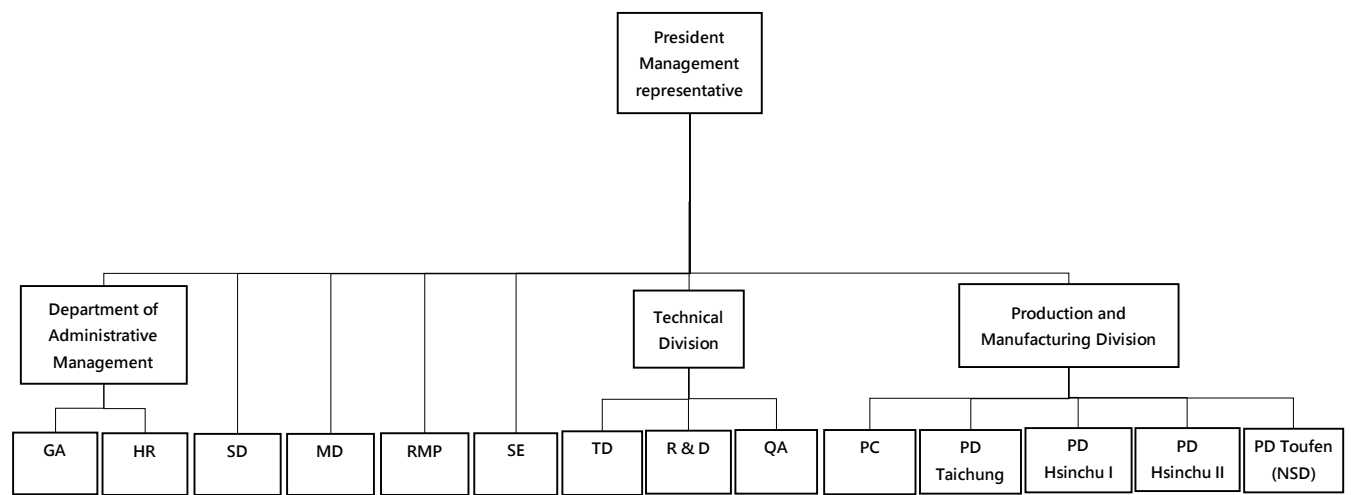
◆ Supply Chain Policy

We have been aware of mining, trading, processing and exporting mineral from/in high risk area could lead to significant social impact. Therefore, in regard to respecting human rights and to avoid causing negative social impact. Uranus Chemicals has been committed to comply with the "OECD Due Diligence Guidance for Responsible Supply Chains of Mineral from Conflict-Affected and High-Risk Areas 3rd edition" issued by Organization for Economic Cooperation and Development (OECD) to formulate "Responsible Mineral Supply Chain Due-diligence Management Policy" and cobalt supply chain due diligence procedures. These will be incorporated into contracts or related agreements for responsible sourcing by suppliers from high-risk areas. Responsible Mineral Supply Chain Due-diligence Management Policy has been published on the official website of Uranus Chemicals <https://www.uranuschemicals.com.tw/zh-tw/responsible-minerals-management>, and announced to both internal and external stakeholders.

◆ Group Responsible Due-diligence Management Organizational Structure

In order to carry out and complete "Responsible Mineral Supply Chain Due-diligence Management Policy", Uranus Chemicals has established the RMI RMAP Responsible Mineral Supply Chain Due-diligence Management Team and the President is the representative leader of the team, responsible for supervising implementation of the due-diligence management. The team is constituted of the President and the management representative, the cobalt product related departments include Raw Material Procurement Department、HR Management Division、Sales & Marketing Division、Production Control Department、MFG、Q.S Department、R & D Department、EHS departments.

RMI RMAP Responsible Mineral Supply Chain Due-diligence Management Team



Definitions:

GA-General Affairs Department, SD-Sales Department, MD- Marketing Department, HR- Human Resource Department, RMP- Raw Material Procurement Department, SE- Safety & Environmental Department, TD- Technical Department, PC- Production Control Department, QA- Quality Assurance Department.

◆ Communication and Training

- 1. Uranus Chemicals communicates with its employees periodically, imparts the related policy to the employees and acquires their feedback and continuously improves.
- 2. According to the supply chain due-diligence management training plan, providing the key personnel in the relevant departments training and assessment regularly.

◆ Supply Chain Management and Internal Control

- 1. Collecting suppliers' corporate information via KYC (Know Your Counterparty) and kept in suppliers' database.
- 2. Requiring suppliers to obey Uranus Chemicals supply chain due-diligence management policy and suppliers' CSR and code of conduct. In addition, incorporate the related risk controls into the contract or the related agreement.
- 3. Establishing materials control system, ensuring the materials are identifiable, traceable, assessable and feasible to mitigate the risks.
- 4. Suppliers located in the Democratic Republic of Congo (DRC) are required to comply with the Extractive Industries Transparency Initiative (EITI), a global standard that promotes transparency and accountability in the extractive industries, including oil, gas, and mining. Suppliers should adhere to the standards and framework of this initiative to build trust and foster good governance, while enhancing the capacity of civil society to hold governments and extractive industries accountable.
- 5. Through the KYC process, we review suppliers located in the Democratic Republic of Congo (DRC) and learned that the supplier has been a supporting company of the EITI since 2011. The specific details include:
 - a. Publishing an annual government payments report, which outlines the company's tax and transparency practices to promote good governance and ensure that the benefits of natural resources are shared with all citizens.
 - b. Disclosing beneficial ownership information of joint ventures operating within their business on the company's website.

The most recent assessment report by the EITI International Secretariat was completed in June 2023. For further details, please refer to the website:

<https://eiti.org/supporters/glencore>

◆ Establish a Company Level Grievance Mechanism

1. External: the spokesman of Uranus Chemicals is responsible for hearing the appeals from external stakeholders (incl. suppliers, shareholders, general public, residents, academic research institutions, government authorities), regarding the anonymously complaint and blow the whistle for behaviors of violations of responsible sourcing, conflict mineral management, RMI RMAP management system, child labor, money laundering, bribery, human right infringement, violation of code of conduct, and hence should execute inspection, imposing disciplinary, investigating and responding externally.
2. Internal : The Human Resources Department is responsible for receiving internal complaints and whistleblower reports related to violations of responsible sourcing, conflict minerals management, the RMI RMAP management system, child labor, labor rights, human rights, and ethical conduct. It also handles anonymous reporting, conducts investigations, and enforces disciplinary actions.
3. Complaint/Report channel:

| No. | Channel | | Receiving method |
|-----|---|----------------------|---|
| 1 | Dpt. Manager | | Report to Dpt. Manager directly. |
| 2 | Special line | | (03)598-5750 Ext: 2386 |
| 3 | E-mail | CEO Mailbox | CEO@uranuschemicals.com.tw |
| | | HR Mailbox | hr880@uranuschemicals.com.tw |
| | | Stakeholders Mailbox | related_party@uranuschemicals.com.tw |
| 4 | Official website | | https://www.uranuschemicals.com.tw/zh-tw/contact-us Official website/Contact us/Fill out the fact/Send out |
| 5 | Suggestion box | | Set at every site. |
| 6 | Fax | | 03-5984321 |
| 7 | HR | | Report directly to the human resources department in writing. |
| 8 | Dedicated complaint channel for sexual harassment | Tel | (03)598-5750 Ext: 2386 |
| | | Fax | (03)5984321 |
| | | E-mail | hr880@uranuschemicals.com.tw |

4. Anti-retaliation measures :

- 4.1 If the person making the complaint or report is an employee, the company guarantees that the employee will not be improperly disposed. If employees were retaliated against by his supervisor because of submitting an opinion, complaint or report, the supervisor shall be dismissed after verification. However, if employees report any false information or malicious accusations are reported, he/she will be subject to disciplinary action. If the circumstances are significant, they will be dismissed or fired.
- 4.2 To protect the rights of the other parties and avoid retaliation, the company shall provide an opportunity for the other parties to explain. If necessary, the company holds a meeting to discuss it.

2-2 Step 2: Identify and assess risks in the supply chain

◆ Responsible for Mineral Supply Chain Risk Management Process

Applicable to Conflict Affected High Risk Areas (CAHRAs) defined by RMAP standards criteria and OECD guidance.

- 1. Per "KYC questionnaire" establishing a list of suppliers' information for cobalt smelting/refining manufactory.
- 2. Conflict Affected High Risk Areas (CAHRAs) stipulates:
In pursuance of RMI Responsible Mineral Initiates to provide "Risk Map", marking the country of mine and transportation routes of suppliers, ensuring the rating and color indicated in Risk Map, the results of assessment are categorized into 4 levels in Risk Map, Red and Orange colors are deemed CAHRAs, while Yellow and Green are regarded not CAHRAs.

| SN | Risk level | Color | Rating range | CAHRAs judgement |
|----|------------|--------|--------------|------------------|
| 1 | Severe | Red | 0 – 2.49 | CAHRAs |
| 2 | High | Orange | 2.50 – 4.99 | CAHRAs |
| 3 | Medium | Yellow | 5 – 7.49 | Not CAHRAs |
| 4 | Low | Green | 7.50–10 | Not CAHRAs |

3. The “Risk Map” items include Rule of Law Index, Integrity Index, Fundamental Rights Index, Law and Safety Index, Regulatory Implementation Index, Global Governance Index, Corruption Control Index, Political Stability Index, Corruption Perception Index, Human Trafficking Report Index, Conflict Barometer Index, the countries or regions sanctioned by the Office of Foreign Assets Control (OFAC) or the United Nations, EU CAHRA List and Dodd-Frank Act, etc.

3.1 Risk identification result : The latest version of CAHRAs risk identification and assessment record was completed in August 2024.

The origin of cobalt materials—DRC—and the transportation routes passing through Mozambique、South Africa, Tanzania, Zambia, and Zimbabwe are all identified as CAHRAs on the Risk Map.

CAHRAs識別評估表
Conflict Affected and High-Risk Areas Evaluation

| 預次 | 風險類別區分 | 顏色 | 評分區間 | CAHRAs 判定 |
|----|--------|----|-------------|-----------|
| 1 | 嚴重 | 紅色 | 0 – 2.49 | CAHRA |
| 2 | 高 | 橘色 | 2.50 – 4.99 | CAHRA |
| 3 | 中等 | 黃色 | 5 – 7.49 | 不是 CAHRA |
| 4 | 低 | 綠色 | 7.50–10 | 不是 CAHRA |

| | | | | | 33% | 33% | 33% | (是 / 否) | (是 / 否) | (是 / 否) | (是 / 否) | (是 / 否) | (是 / 否) |
|--------------|--------------|--------|------------|---------------|------|------|------|---------|-------------|---------|---------|---------------|----------|
| 國家或地區 | 總體風險 置信水準 | 風險類別區分 | 總體風險 評分 | 風險係數 上升/下降 | 衝突 | 治理 | 人權 | 制裁 | 歐盟制裁的國 家 | 聯合國制裁國家 | 美國制裁國家 | 是否為 CAHRAs | 示警 訊號 |
| Botswana | 77% | 中等 | 6.90 | 下降 | 7.35 | 7.03 | 6.32 | 否 | 否 | 否 | 否 | 否 | 否 |
| DR Congo | 89% | 嚴重 | 1.70 | 下降 | 1.22 | 1.06 | 2.81 | 是 | 是 | 是 | 是 | 是 | 是 |
| Mozambique | 100% | 嚴重 | 2.25 | 下降 | 2.00 | 1.89 | 2.86 | 否 | 否 | 否 | 否 | 是 | 是 |
| Malaysia | 92% | 中等 | 6.42 | 下降 | 8.48 | 6.10 | 4.69 | 否 | 否 | 否 | 否 | 否 | 否 |
| Namibia | 77% | 中等 | 6.69 | 下降 | 7.76 | 6.30 | 6.00 | 否 | 否 | 否 | 否 | 否 | 否 |
| Singapore | 77% | 低 | 8.85 | 下降 | 8.33 | 9.63 | 8.58 | 否 | 否 | 否 | 否 | 否 | 否 |
| South Africa | 85% | 高 | 4.04 | 上升 | 2.41 | 3.88 | 5.83 | 否 | 否 | 否 | 否 | 是 | 是 |
| Switzerland | 60% | 低 | 9.22 | 下降 | 8.57 | 9.19 | 9.92 | 否 | 否 | 否 | 否 | 否 | 否 |
| Taiwan | 57% | 中等 | 6.92 | 上升 | 6.96 | 8.08 | 5.72 | 否 | 否 | 否 | 否 | 否 | 否 |
| Tanzania | 92% | 高 | 4.47 | 下降 | 5.81 | 4.13 | 3.48 | 是 | 否 | 否 | 否 | 是 | 是 |
| Zambia | 83% | 高 | 4.27 | 下降 | 5.91 | 4.07 | 2.84 | 是 | 否 | 否 | 否 | 是 | 是 |
| Zimbabwe | 92% | 高 | 2.52 | 下降 | 3.08 | 1.89 | 2.60 | 是 | 是 | 否 | 是 | 是 | 是 |

資料來源: RMI Risk Map 2024

| | | | | | |
|----------------------|---------------------------------------|---------------------------------------|---|----------|--------------------------|
| 供應商: Glencore | 審核日期: 19-Dec | 審核年份: 2024 | 礦區: Katanga | 版本: V1.0 | 增修部分: 依據供應商新運輸路線更新識別評估表。 |
| 是否進行深入盡責管理調查 | <input checked="" type="checkbox"/> 是 | <input type="checkbox"/> 否 | 說明: 礦區位於DRC, 瑞士為供應商所在地, 其餘為運輸路線經過之國家。 | | |
| 是否進行實地調查 | <input type="checkbox"/> 是 | <input checked="" type="checkbox"/> 否 | 說明: 供應商已通過RMI RMAP, 並登錄於RMI合規結精煉廠名單中。 | | |
| 若無法進行實地調查, 是否有應變行動計畫 | <input type="checkbox"/> 是 | <input checked="" type="checkbox"/> 否 | 說明: Katanga已通過RMI RMAP, 並登錄於RMI合規結精煉廠名單中。 | | |

CAHRAs識別評估表
Conflict Affected and High-Risk Areas Evaluation

| 頂次 | 風險類別區分 | 顏色 | 評分區間 | CAHRAs 判定 |
|----|--------|----|-------------|-----------|
| 1 | 嚴重 | 紅色 | 0 – 2.49 | CAHRA |
| 2 | 高 | 橘色 | 2.50 – 4.99 | CAHRA |
| 3 | 中等 | 黃色 | 5 – 7.49 | 不是 CAHRA |
| 4 | 低 | 綠色 | 7.50–10 | 不是 CAHRA |

| | | | | | 33% | 33% | 33% | (是 / 否) | (是 / 否) | (是 / 否) | (是 / 否) | (是 / 否) | (是 / 否) |
|--------------|--------------|--------|------------|---------------|------|------|------|---------|---------|---------|---------|---------------|----------|
| 國家或地區 | 總體風險 置信水準 | 風險類別區分 | 總體風險 評分 | 風險係數 增加/下降 | 衝突 | 治理 | 人權 | 制裁 | 歐盟制裁的國家 | 聯合國制裁國家 | 美國制裁國家 | 是否為 CAHRAs | 示警 訊號 |
| Botswana | 77% | 中等 | 6.90 | 下降 | 7.35 | 7.03 | 6.32 | 否 | 否 | 否 | 否 | 否 | 否 |
| DR Congo | 89% | 嚴重 | 1.70 | 下降 | 1.22 | 1.06 | 2.81 | 是 | 是 | 是 | 是 | 是 | 是 |
| Malaysia | 92% | 中等 | 6.42 | 下降 | 8.48 | 6.10 | 4.69 | 否 | 否 | 否 | 否 | 否 | 否 |
| Mozambique | 100% | 嚴重 | 2.25 | 下降 | 2.00 | 1.89 | 2.86 | 否 | 否 | 否 | 否 | 是 | 是 |
| Namibia | 77% | 中等 | 6.69 | 下降 | 7.76 | 6.30 | 6.00 | 否 | 否 | 否 | 否 | 否 | 否 |
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| South Africa | 85% | 高 | 4.04 | 上升 | 2.41 | 3.88 | 5.83 | 否 | 否 | 否 | 否 | 是 | 是 |
| Switzerland | 60% | 低 | 9.22 | 下降 | 8.57 | 9.19 | 9.92 | 否 | 否 | 否 | 否 | 否 | 否 |
| Taiwan | 57% | 中等 | 6.92 | 上升 | 6.96 | 8.08 | 5.72 | 否 | 否 | 否 | 否 | 否 | 否 |
| Tanzania | 92% | 高 | 4.47 | 下降 | 5.81 | 4.13 | 3.48 | 是 | 否 | 否 | 否 | 是 | 是 |
| Zambia | 83% | 高 | 4.27 | 下降 | 5.91 | 4.07 | 2.84 | 是 | 否 | 否 | 否 | 是 | 是 |
| Zimbabwe | 92% | 高 | 2.52 | 下降 | 3.08 | 1.89 | 2.60 | 是 | 是 | 否 | 是 | 是 | 是 |

資料來源: RMI Risk Map 2024

| | | | | | |
|---------------------|---------------------------------------|---------------------------------------|--|----------|--------------------------|
| 供應商: Glencore | 審核日期: 19-Dec | 審核年份: 2024 | 礦區: Mutanda | 版本: V1.0 | 增修部分: 依據供應商新運輸路線更新識別評估表。 |
| 是否進行深入盡責管理調查 | <input checked="" type="checkbox"/> 是 | <input type="checkbox"/> 否 | 說明: 礦區位於DRC，瑞士為供應商所在地，其餘為運輸路線經過之國家。 | | |
| 是否進行實地調查 | <input type="checkbox"/> 是 | <input checked="" type="checkbox"/> 否 | 說明: 供應商已通過RMI RMAP，並登錄於RMI合規結精煉廠名單中。。 | | |
| 若無法進行實地調查，是否有應變行動計畫 | <input type="checkbox"/> 是 | <input checked="" type="checkbox"/> 否 | 說明: Katanga已通過RMI RMAP，並登錄於RMI合規結精煉廠名單中。 | | |

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| 4 | 低 | 綠色 | 7.50–10 | 不是 CAHRA |

| | | | | | 33% | 33% | 33% | (是 / 否) | (是 / 否) | (是 / 否) | (是 / 否) | (是 / 否) | (是 / 否) |
|-------|--------------|--------|------------|---------------|------|------|------|---------|---------|---------|---------|---------------|----------|
| 國家或地區 | 總體風險 置信水準 | 風險類別區分 | 總體風險 評分 | 風險係數 上升/下降 | 衝突 | 治理 | 人權 | 制裁 | 歐盟制裁的國家 | 聯合國制裁國家 | 美國制裁國家 | 是否為 CAHRAs | 示警 訊號 |
| 台灣 | 57% | 中等 | 6.92 | 上升 | 6.96 | 8.08 | 5.72 | 否 | 否 | 否 | 否 | 否 | 否 |

| | | | | | |
|---------------------|----------------------------|---------------------------------------|-----------------------------------|----------|-----------------------|
| 供應商: 聯友 | 審核日期: 6-Aug | 審核年份: 2024 | 礦區: N/A | 版本: V1.0 | 增修部分: 更新2024 Risk Map |
| 是否進行深入盡責管理調查 | <input type="checkbox"/> 是 | <input checked="" type="checkbox"/> 否 | 說明: 此為回收料，持續實施盡職調查及監控，進行一般盡責管理調查。 | | |
| 是否進行實地調查 | <input type="checkbox"/> 是 | <input checked="" type="checkbox"/> 否 | 說明: 持續實施盡職調查及監控，進行一般盡責管理調查。 | | |
| 若無法進行實地調查，是否有應變行動計畫 | <input type="checkbox"/> 是 | <input checked="" type="checkbox"/> 否 | 說明: 持續實施盡職調查及監控，進行一般盡責管理調查。 | | |

2-3 Step 3: Design and implement a strategy to respond to identified risks

◆ Risk Mitigation and Strategy

As the suppliers are identified as CAHRAs, we will conduct onsite assessments or communication with the suppliers in accordance with RMI RMAP procedures, to mitigate the risks.

The cobalt suppliers have been communicated by Uranus Chemicals to implement RMI RMAP procedures. As a result, the suppliers agreed to implement RMI RMAP procedures and passed the RMI RMAP third-party certification in April 2021. No actual risks were identified.

2-4 Step 4: Carry out independent third-party audit

◆ Uranus Chemicals and Cobalt Refinery

Uranus Chemicals has established a Responsible Mineral Supply Chain Due-diligence Management Team since July 2019. Actively collecting the information available from the supply chain per Due-diligence criteria through each functional team. Also, utilizing RMI e-Learning to impart internal training and establish the required procedures and records system for Responsible Mineral Supply Chain Due-diligence, the cobalt refinery of Uranus Chemicals has passed RMI RMAP third-party certification in April 2021. Continuing to accept the annual review by the independent third-party verification agency designated by RMI to satisfy stakeholders internally and externally with conformance of cobalt supply chain criteria and transparency.

◆ Suppliers of Raw Material (crude cobalt hydroxide)

The Uranus Chemicals existing suppliers of crude cobalt hydroxide have been identified as sourced from CAHRAs, and hence Uranus Chemicals has been proactively communicating with the suppliers to conform with Responsible Mineral Supply Chain criteria bilaterally. In consequence, the suppliers have agreed and been establishing RMI RMAP procedures management system,

meanwhile Uranus Chemicals has prepared a Risk Response Action Plan.

Furthermore, the suppliers have consented to carry out RMI RMAP procedures, and the supplier agreed to implement the RMI RMAP program and passed the RMI RMAP third-party certification in April 2021.



◇ Kamoto Copper Company was certified by a third party on May 30, 2024.



◇ Mutanda Mining SPRL was certified by a third party on May 30, 2024.



◇ Lianyou Resource Co., Ltd. was certified by a third party on October 27, 2023.

2-5 Step 5: Report on supply chain due diligence

Uranus Chemicals Supply Chain Due-diligence Management Report for cobalt has been organized and constituted by the Responsible Mineral Supply Chain Due-diligence Team, and the management representative convened the directors to review the report. Finally, authorized by the Chairman & CEO then published on Uranus Chemicals public official website <https://www.uranuschemicals.com.tw/zh-tw/responsible-minerals-management> · for stakeholders' best interest. Earnestly welcome any feedback and comment regarding the contents of the Cobalt Supply Chain Due-diligence Management issued by Uranus Chemicals.

◇The 2023 periodic review will be implemented from October 16 to 17, 2023
Main reviewer: SCS Jennifer Hsu

◇The results of the regular review in 2023 are as follows:

The RMI has reviewed the process and results of **Uranus Chemicals's** Responsible Minerals Assurance Process Assessment for **Cobalt (2021)** , conducted at the following location on the following dates:

| | |
|-----------------|---|
| SITE | Uranus Chemicals |
| ADDRESS | No. 440, Zhonghua Rd, |
| ADDRESS | Toufen City, , Miaoli County 35154 Chinese Taipei |
| ASSESSMENT DATE | 11/16/2023 - 11/17/2023 |

Based on the Auditor's findings and the RMI's quality control review process, **Uranus Chemicals** is conformant with the Assessment Standard for **Cobalt (2021)**. The review covers only the facility listed above and the next assessment should take place on or before 11/17/2024. Your conformant status will be updated on the RMI's [publicly available website](#) shortly. The list of currently RMAP Conformant companies is published and maintained on RMI's website.

Please note that any findings designated “conform with continual improvement” will need to be addressed before the time of your next assessment. If they are not closed at that time, then they will be categorized as non-conformant findings.

Thank you for participating in the Responsible Minerals Assurance Process.

Sincerely,

Responsible Minerals Initiative

◇The 2024 periodic review is expected to be implemented in December 2024.

2-6 Step 6: Community participation

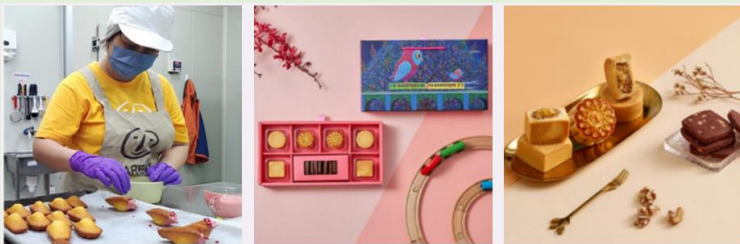
Regarding community participation, Uranus Chemicals actively pays attention to and interacts with the neighboring communities around each plant, including suppliers, neighboring communities, government and non-governmental organizations, and other stakeholders to establish partnerships; Uranus Chemicals recognizes that community development is an important part of corporate sustainable development, so actively participates in community affairs, supports community development, and fully demonstrates corporate social responsibility. We are aware of our responsibility to industry, society, and even economic development. Therefore, we continue to carry out our corporate social responsibility with a steady pace and under the premise of social stability, harmony, and sustainable development.

Uranus Chemicals attaches importance to sustainable management and ESG issues that stakeholders care about. From 2022, the corporate social responsibility report (CSR) has been adjusted to a sustainability report. For more information on community participation and ESG sustainability reports, please refer to CoreMax Group Sustainability Report. <https://www.coremaxcorp.com/zh-tw/reports>.

The Activity of Community Participation

| 1. Social assistance in the cold winter warmth |
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| CoreMax Group donated NT\$100,000 to the Hukou Township Office for the sixth consecutive year to help Hukou villagers get timely help in case of emergencies, giving full play to the spirit of corporate social responsibility, and has donated a total of NT\$600,000 by the end of 2023. |
| 2.Moon Festival Gift Box with Love |
| For the ninth consecutive year, CoreMax Group has cooperated with social welfare groups to give priority to the subscription of disadvantaged products as employee holiday gifts, supporting the disadvantaged groups with practical actions, injecting income from the disadvantaged groups, and helping the disadvantaged groups operate more smoothly. |

While enjoying the food, we will do public welfare together. 2023 - Down Disease Foundation Fantasy Time 7 into 323 boxes of mooncake gift boxes.



3. Supporting Rural Education Program

Since 2018, CoreMax Group has supported the "Planting the Seeds of Reading" program by donating magazines to Miaoli County schools. This initiative aims to cultivate a love for learning and enhance comprehension and judgment skills among students. By the end of 2023, we've donated 8,387 magazines to 54 schools, and we remain committed to supporting rural education in the future.



4. Cultivate local athletes in Miaoli and Hsinchu

In October 2023, the Hsinchu Lions Basketball Team co-organized the basketball team activity of Xinhua Junior High School, and CommScope Group donated NT\$100,000 to provide better training equipment to help children improve their skills.



Uranus Chemicals Corporation

A handwritten signature in black ink, appearing to read "J. C. Ho", with a stylized flourish at the end.

Jim C. Ho
Chairman & CEO