

# **Cobalt Supply Chain Due-diligence Report 2025**

RMI RMAP Responsible Mineral Supply Chain Due-diligence Management Team

August 2025



# Introduction

Uranus Chemicals recognizes its responsibility to Taiwan's industry, society, and economic growth. With steadfast footprints, we prioritize social harmony and sustainable development, embodying corporate social responsibility.

We relentlessly pursue excellence in economics, society, and the environment, aiming for flawless products, incident-free safety, and a pollution-free environment.

Looking ahead, Uranus Chemicals will expand rechargeable lithium-ion batteries materials capacity, maintain production quality, and innovate for customer needs.

As a part of the chemical industry, we confidently embrace challenges, committed to fulfilling our promise of sustainable societal well-being.

# Introduction to the report

## Scope of the Report

The report is published by Uranus Chemicals Corporation for cobalt supply chain due-diligence purpose for the year 2025, covering every management activity of cobalt supply chain due-diligence from 1 November 2024 to 31 July 2025.

#### **Principles of the Report**

Uranus Chemicals prepares this report in pursuance of the outline of 《OECD Due Diligence Guidance for Responsible Supply Chains of Mineral from Conflict-Affected and High-Risk Areas》 and 5 steps mechanism. We refer to the "Cobalt Refiner Supply Chain Due Diligence Standard" developed in collaboration with the Responsible Cobalt Initiative (RCI) and the Responsible Minerals Initiative (RMI), Uranus Chemicals recognizes that community development is an important part of corporate sustainability, so has added 6 steps: community participation. Uranus Chemicals states and reports on the performance of the due diligence management of cobalt per OECD 6 steps mechanism.

# **Reporting Route**

- The cobalt supply chain due-diligence report has been published on Uranus Chemicals
  official website under section Corporate Social Responsibilities.
  - https://www.uranuschemicals.com.tw/zh-tw/responsible-minerals-management
- 2. The publication is once a year and will be updated regularly as needed.

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# 1. Company Introduction



## 1-1 Company Profile

Company name: Uranus Chemicals Corporation

Company address: No. 18, Shijian Rd., Hukou Township, Hsinchu County 303035,

Taiwan, R.O.C.

Address of cobalt refining factory: 440 Zonghua Road, Toufen City, Miaoli County, Taiwan, R.O.C.

Processing material: Crude Cobalt Hydroxide

Period of assessment on the report: from 1 November 2024 to 31 July 2025.

Assessment conclusion website: <a href="https://www.uranuschemicals.com.tw/zh-tw/responsible-">https://www.uranuschemicals.com.tw/zh-tw/responsible-</a>

minerals-management

The report prepared by: RMI RMAP Responsible Mineral Supply Chain Due-diligence Management Team

# 1-2 Company Background

Founded in 1975, Uranus Chemicals CO., LTD. specializes in the production of oxalic acid using self-developed proprietary technology. The products and services of Uranus Chemicals includes Oxalic Acid, Battery materials (Cobalt Sulfate), Electronic grade chemicals (ITO-08N, Potassium Hydroxide...etc.) and Specialty chemicals (Ceric Ammonium Nitrate,  $Tin(\Pi)$  Chloride Dihydrate). Uranus Chemicals Co., Ltd. is across three different regions in Taiwan, namely, Hsinchu County, Miaoli County and Taichung City respectively. In 2018, Toufen refinery at Miaoli County was established to process Crude Cobalt Hydroxide, to produce battery cathode raw material, such as Cobalt Sulfate and other specialty chemicals such as Cobalt Oxide and Cobalt Hydroxide.

#### 1-3 Core values and behavioral indicators

Uranus Chemicals follows the established strategy of "whole-process product management" and strives to cultivate outstanding talents and innovative technology production, to provide high-quality products and services to meet customers' expectations and improve Uranus Chemicals 's business performance. Uranus Chemicals is committed to continuous improvements in all aspects of its operations and to create a common vision between colleagues.

In 2020, Uranus Chemicals announced its 5 major core values and 25 key behavioral indicators, and initially evaluated its executive managers on the basis of the "Evaluation Form for Core Values and Key Behavioral Indicators". In 2021, the Company continues to evaluate all employees. We expect that all group-wide employees should uphold the same values and should display the behavior expected by the Company in their everyday working attitude and working methods. This will ensure cohesion among our employees, encourage everyone to work toward the same shared goals, and ultimately build a great corporate culture.

# Core values and conduct guidelines

- ✓ Underscoring individuals' safety and environmental responsibilities, protecting one's own safety and the safety of the personnel around one.
- ✓ Upholding operating procedures and regulations, striving to maintain reliable operating methods that are in accord with safety and environmental requirements.
- ✓ Respecting the professionalism and competence of personnel with environmental protection and safety duties and complying with their instructions and suggestions.
- ✓ Strengthening professional environmental and safety knowledge, promoting continuing learning and the development of environmental, safety, and risk management skills in individuals and teams.
- ✓ No compromising environmental or safety principles for the sake of simplification of operating procedures or enhancement of commercial benefit.
- ✓ Maintaining due respect for all employees of the Company.
- ✓ Respecting and affirming others' views and contributions.
- ✓ Valuing and affirming every employee's diverse knowledge, skills, and experience.
- ✓ Continued providing training and development opportunities, actively helping employees to grow.
- ✓ Pledging fair and equitable treatment of all employees, upholding the spirit of transparency, openness, and trust.
- ✓ Taking the consistent attainment of the Company's quality requirements as a working goal.
- ✓ Understanding and conveying the importance of improving quality, striving to improve the quality of our products, work, and service.
- ✓ Establishing excellent partnerships with colleagues, engaging in close collaboration to resolve quality problems.
- ✓ Continuing analysis and improvement or re-integration of operating procedures, enhancing the quality of products, work, and service.
- ✓ Establishing comprehensive quality documents, performing regular tracking, maintaining all types of quality requirements, and ensuring that all quality systems are realized on a continuing basis.
- ✓ Accepting new information and ideas with an open and flexible attitude.
- ✓ Proactively cooperating with others and continuing to improve existing operating procedures and systems on the basis of innovative ideas and methods in order to enhance working efficiency and effectiveness.
- ✓ Optimistically tackling challenging circumstances and situations, vigorously seeking out solutions.
- ✓ Continuing to express innovative thinking, making major contributions to the development of innovative solutions.
- ✓ Relying on imagination and vision to understand the possibilities, opportunities, and trends inherent in the course of matters.
- ✓ Performing one's own work with the highest professional standards.
- ✓ Displaying the ability to innovate, taking excellence as a goal.
- ✓ Feeling a sense of pride in one's work, continuing focus on the details of one's work and the working environment as a whole.
- ✓ Displaying determination to do the job right at the first time, being able to learn lessons from errors when mistakes occurred.
- ✓ Continued learning from all types of situations, making vigorous efforts to improve work performance and quality.

Employee

Safety

Quality

Innovation

Enhance

# 2. Implementation of Supply Chain Due-diligence Management

# 6 Steps approach

| Step 1 • Es  | tablish a strong company management systems                       |
|--------------|---|
|              |   |
| Step 2 • Ide | entify and assess risks in the supply chain                       |
|              |   |
| Step 3 • De  | esign and implement a strategy to respond to the identified risks |
|              |   |
| Step 4 • Ca  | arry out an independent third party audit                         |
|              |   |
| Step 5 • Re  | eport on supply chain due diligence                               |
|              |   |
| Step 6 • Co  | ommunity participation  |
|              |   |

**5 steps approach** in accordance with 《OECD Regarding Due Diligence Guidance for Responsible Supply Chains of Mineral from Conflict-Affected and High-Risk Areas》

**Step 6** refers to the 《"Cobalt Refiner Supply Chain Due Diligence Standard" developed in collaboration with the Responsible Cobalt Initiative (RCI) and the Responsible Minerals Initiative (RMI)》

#### 2-1 Step 1: Establish strong company management systems

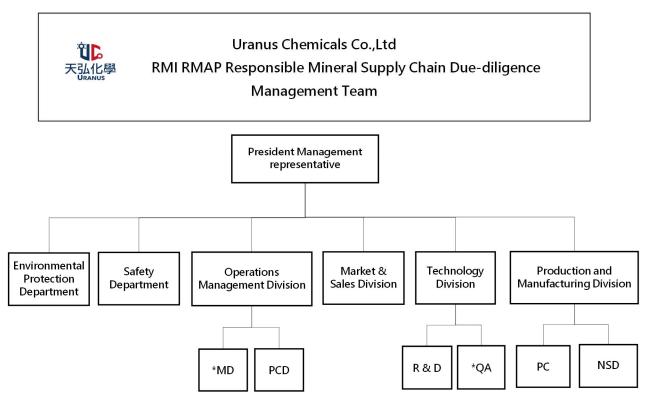
#### Supply Chain Policy

We have been aware of mining, trading, processing and exporting mineral from/in high risk area could lead to significant social impact. Therefore, in regard to respecting human rights and to avoid causing negative social impact. Uranus Chemicals has been committed to comply with the "OECD Due Diligence Guidance for Responsible Supply Chains of Mineral from Conflict-Affected and High-Risk Areas 3rd edition" issued by Organization for Economic Cooperation and Development (OECD) to formulate "Responsible Mineral Supply Chain Duediligence Management Policy" and cobalt supply chain due diligence procedures. These will be incorporated into contracts or related agreements for responsible sourcing by suppliers from high-risk areas. Responsible Mineral Supply Chain Duediligence Management Policy has been published on the official website of Uranus Chemicals <a href="https://www.uranuschemicals.com.tw/zh-tw/responsible-minerals-management">https://www.uranuschemicals.com.tw/zh-tw/responsible-minerals-management</a>, and announced to both internal and external stakeholders.

## ◆ Group Responsible Due-diligence Management Organizational Structure

In order to carry out and complete "Responsible Mineral Supply Chain Due-diligence Management Policy", Uranus Chemicals has established the RMI RMAP Responsible Mineral Supply Chain Due-diligence Management Team and the President is the representative leader of the team, responsible for supervising implementation of the due-diligence management. The team is constituted of the President and the management representative, the cobalt product related departments include Raw Material Procurement Department • HR Management Division • Sales & Marketing Division • Production Control Department • MFG • Q.S Department • R & D Department • EHS departments.

#### RMI RMAP Responsible Mineral Supply Chain Due-diligence Management Team



<sup>\*</sup> The Quality Assurance Department is responsible for managing QA and QC.

#### **Definitions:**

MD-Management Department, PCD-Purchasing Department, RD-RD Department, QA- Quality Assurance Department, PC- Production Control Department, NSD-Production Department Toufen NSD Site.

# **♦** Communication and Training

- Uranus Chemicals communicates with its employees periodically, imparts the related policy to the employees and acquires their feedback and continuously improves.
- According to the supply chain due-diligence management training plan, providing the key personnel in the relevant departments training and assessment regularly.

<sup>\*</sup> The Management Department is responsible for managing HR and GA.

#### Supply Chain Management and Internal Control

- 1. Collecting suppliers' corporate information via KYC (Know Your Counterparty) and kept in suppliers' database.
- Requiring suppliers to obey Uranus Chemicals supply chain due-diligence management policy and suppliers' CSR and code of conduct. In addition, incorporate the related risk controls into the contract or the related agreement.
- 3. Establishing materials control system, ensuring the materials are identifiable, traceable, assessable and feasible to mitigate the risks.
- 4. Suppliers located in the Democratic Republic of Congo (DRC) are required to comply with the Extractive Industries Transparency Initiative (EITI), a global standard that promotes transparency and accountability in the extractive industries, including oil, gas, and mining. Suppliers should adhere to the standards and framework of this initiative to build trust and foster good governance, while enhancing the capacity of civil society to hold governments and extractive industries accountable.
- 5. Through the KYC process, we review suppliers located in the Democratic Republic of Congo (DRC) and learned that the supplier has been a supporting company of the EITI since 2011. The specific details include:
- a. Publishing an annual government payments report, which outlines the company's tax and transparency practices to promote good governance and ensure that the benefits of natural resources are shared with all citizens.
- b. Disclosing beneficial ownership information of joint ventures operating within their business on the company's website.

The most recent assessment report by the EITI International Secretariat was completed in June 2023. For further details, please refer to the website:

https://eiti.org/supporters/glencore

#### Establish a Company Level Grievance Mechanism

- 1. External: the spokesman of Uranus Chemicals is responsible for hearing the appeals from external stakeholders (incl. suppliers, shareholders, general public, residents, academic research institutions, government authorities), regarding the anonymously complaint and blow the whistle for behaviors of violations of responsible sourcing, conflict mineral management, RMI RMAP management system, child labor, money laundering, bribery, human right infringement, violation of code of conduct, and hence should execute inspection, imposing disciplinary, investigating and responding externally.
- 2. Internal: The Human Resources Department is responsible for receiving internal complaints and whistleblower reports related to violations of responsible sourcing, conflict minerals management, the RMI RMAP management system, child labor, labor rights, human rights, and ethical conduct. It also handles anonymous reporting, conducts investigations, and enforces disciplinary actions.

#### 3. Complaint/Report channel:

| No. | Channel             |             |             | Receiving method  |  |  |
|-----|---------------------|-------------|-------------|---|--|--|
| 1   | Dpt. Manager        |             |             | Report to Dpt. Manager directly.  |  |  |
| 2   | Special line        |             |             | (03)598-5750 Ext: 2386  |  |  |
|     | CEO Mailbox         |             | ох          | CEO@uranuschemicals.com.tw  |  |  |
| 3   | E-mail              | HR Mailbo   | x           | hr880@uranuschemicals.com.tw  |  |  |
|     |                     | Stakeholde  | ers Mailbox | related_party@uranuschemicals.com.tw  |  |  |
| 4   | Official website    |             |             | https://www.uranuschemicals.com.tw/zh-tw/contact-<br>us<br>Official website/Contact us/Fill out the fact/Send out |  |  |
| 5   | Suggestion box      |             |             | Set at every site.  |  |  |
| 6   | Fax                 |             |             | 03-5984321  |  |  |
| 7   | HR                  |             |             | Report directly to the human resources department in writing.   |  |  |
|     | Dedicated Tel       |             | Tel         | (03)598-5750 Ext: 2386  |  |  |
| 0   | complai             | int channel | Fax         | (03)5984321   |  |  |
| 8   | for sexu<br>harassm |             | E-mail      | hr880@uranuschemicals.com.tw  |  |  |

#### 4. Anti-retaliation measures:

- 4.1 If the person making the complaint or report is an employee, the company guarantees that the employee will not be improperly disposed. If employees were retaliated against by his supervisor because of submitting an opinion, complaint or report, the supervisor shall be dismissed after verification. However, if employees report any false information or malicious accusations are reported, he/she will be subject to disciplinary action. If the circumstances are significant, they will be dismissed or fired.
- 4.2 To protect the rights of the other parties and avoid retaliation, the company shall provide an opportunity for the other parties to explain. If necessary, the company holds a meeting to discuss it.
- 5. When complaints or reports are related to the upstream supply chain, they shall be included as a source for the annual risk identification and assessment.
  - It has been confirmed that from November 2024 to July 2025, there were no new complaints or reports related to the above.

## 2-2 Step 2: Identify and assess risks in the supply chain

- Responsible for Mineral Supply Chain Risk Management Process
  Applicable to Conflict Affected High Risk Areas (CAHRAs) defined by RMAP standards criteria and OECD guidance.
  - 1. Per "KYC questionnaire" establishing a list of suppliers' information for cobalt smelting/refining manufactory.
  - 2. Conflict Affected High Risk Areas (CAHRAs) stipulates: In pursuance of RMI Responsible Mineral Initiates to provide "Risk Map", marking the country of mine and transportation routes of suppliers, ensuring the rating and color indicated in Risk Map, the results of assessment are categorized into 4

levels in Risk Map, Red and Orange colors are deemed CAHRAs, while Yellow and Green are regarded not CAHRAs.

| SN | Risk level | Color                    | Rating range | CAHRAs judgement |  |
|----|------------|--------------------------|--------------|------------------|--|
| 1  | Severe     | Red                      | 0 – 2.49     | CAHRAs           |  |
| 2  | High       | gh Orange 2.50 – 4.99    |              | CAHRAs           |  |
| 3  | Medium     | <ul><li>Yellow</li></ul> | 5 – 7.49     | Not CAHRAs       |  |
| 4  | Low        | <ul><li>Green</li></ul>  | 7.50–10      | Not CAHRAs       |  |

- 3. The "Risk Map" items include Rule of Law Index, Integrity Index, Fundamental Rights Index, Law and Safety Index, Regulatory Implementation Index, Global Governance Index, Corruption Control Index, Political Stability Index, Corruption Perception Index, Human Trafficking Report Index, Conflict Barometer Index, the countries or regions sanctioned by the Office of Foreign Assets Control (OFAC) or the United Nations, EU CAHRA List and Dodd-Frank Act, etc.
  - 3.1 Risk identification result: The latest version of CAHRAs risk identification and assessment record was completed in August 2025.

    The origin of cobalt materials—DRC—and the transportation routes passing through Mozambique South Africa, Tanzania, Zambia, and Zimbabwe are all identified as CAHRAs on the Risk Map.

|              |                              |                |   |                |                      |           |   |         | 項次        | 風險                     | 類別區分            | 顏色     | 評分            | 區間       | CAHRAS               | 判定         |           |
|--------------|------------------------------|----------------|---|----------------|----------------------|-----------|---|---------|-----------|------------------------|-----------------|--------|---------------|----------|----------------------|------------|-----------|
|              |                              |                |   |                |                      |           |   |         | 1         |                        | 嚴重              | ● 紅色   | 0 – 3         | 2.49     | CAH                  | RA         |           |
|              | CAHRAs識別評估表                  |                |   |                |                      |           |   |         | 2         | 高 <b> </b>             |                 | CAHI   | RA            |          |                      |            |           |
|              | Conflict A                   | Affected a     | 10.0                                    |                |                      | /aluatio  | n   |         | 3         |                        | 中等              | 黄色     | 5 – 1         | 7.49     | 不是 CA                | CAHRA      |           |
|              |                              |                |   |                |                      |           |   |         | 4         |                        | 低               | ● 綠色   | 7.50          | -10      | 不是 CA                | HRA        |           |
|              |                              |                |   |                | 33%                  | 33%       | 33%   | (是/否)   | (是 /      | 否)                     | (是/否)           | (是/否)  | (是/否)         | (是/否)    |                      |            |           |
| 國家或地區        | 總體風險<br>置信水準                 | 風險類別區分         | 總體風險<br>評分 ,                            | 風險係數<br>上升/下降。 | 衝突                   | 治理        | 人權  | 制裁      | 歐盟制調      |                        | 聯合國制裁國家         | 美國制裁國家 | 是否為<br>CAHRAS | 示警<br>訊號 | × ×                  | *          |           |
| Botswana     | 77%                          | 中等             | 7.06                                    | 下降             | 7.87                 | 6.88      | 6.42  | 否       | 7         | ř                      | 否               | 否      | 否             | 否        |                      |            |           |
| DR Congo     | 89%                          | 嚴重             | 1.69                                    | 上升             | 1.19                 | 1.07      | 2.81  | 是       | 是         | l l                    | 是               | 是      | 是             | 是        |                      |            |           |
| Malaysia     | 92%                          | 中等             | 6.28                                    | 上升             | 7.95                 | 6.19      | 4.69  | 否       | 歪         | ř                      | 否               | 否      | 否             | 否        |                      |            |           |
| Mozambique   | 100%                         | 嚴重             | 2.25                                    | 下降             | 2.47                 | 2.00      | 2.95  | 是       | 否         | i                      | 否               | 否      | 是             | 是        |                      |            |           |
| Namibia      | 77%                          | 中等             | 6.54                                    | 上升             | 7.35                 | 6.31      | 5.95  | 否       | 歪         | ř                      | 否               | 否      | 否             | 否        |                      |            |           |
| Singapore    | 77%                          | 低              | 8.87                                    | 下降             | 8.34                 | 9.60      | 8.67  | 否       | 歪         | ř                      | 否               | 否      | 否             | 否        |                      |            |           |
| South Africa | 85%                          | 高              | 4.11                                    | 下降             | 2.42                 | 3.94      | 5.97  | 否       | 否         | ř                      | 否               | 否      | 是             | 是        |                      |            |           |
| Switzerland  | 60%                          | 低              | 9.34                                    | 下降             | 8.94                 | 9.16      | 9.92  | 否       | 否         | ř                      | 否               | 否      | 否             | 否        |                      |            |           |
| Taiwan       | 57%                          | 中等             | 7.11                                    | 下降             | 7.47                 | 8.14      | 5.72  | 否       | 존         | ì                      | 否               | 否      | 否             | 否        |                      |            |           |
| Tanzania     | 92%                          | 高              | 4.65                                    | 下降             | 6.08                 | 4.44      | 3.41  | 是       | 존         | ř                      | 否               | 否      | 是             | 是        |                      |            |           |
| Zambia       | 83%                          | 盲              | 4.72                                    | 下降             | 7.15                 | 4.19      | 2.83  | 是       | 7         | ř                      | 否               | 否      | 是             | 是        |                      |            |           |
| Zimbabwe     | 92%                          | 高              | 2.62                                    | 下降             | 3.45                 | 1.91      | 2.51  | 是       | 是         | 1                      | 否               | 是      | 是             | 是        | 資料來源: RMI Ri         | sk Map 202 | 5         |
| 供應商:         | Glencore                     |                |   | 審核日期:          | 5-Aug                | 審核年份:     | 2025  | 礦區:     | Mutan     | ıda                    | 版本:             | V1.1   | 增修部分:         | 依據供應商業   | <b></b><br>「運輸路線更新識別 | 评估表。       |           |
| 是否進行深入盡責管理調查 | ts                           |                |   | ☑是             | 口否                   | 治田・篠原台    | 於DDC,磁士   | 為供應遊師左  | ter , tra | <b>全為</b> 運            | <b>給股狼經過</b> 一日 | 明宏。    |               |          |                      |            | $\exists$ |
|              | в.                           |                |   |                |                      |           | 北於DRC、瑞士為供應商所在地,其餘為運輸路線經過之國家。   |         |           |                        |                 |        |               |          |                      |            |           |
| 是否進行實地調查     |                              |                |   |                | ☑ 否                  |           | 商已通過RMI RMAP,並登錄於RMI合規鈷精煉廠名單中。。   |         |           |                        |                 |        |               |          |                      |            |           |
| 若無法進行實地調查,是否 | 否有應變行動計                      | 畫              |   | □是             | ☑ 否                  | 說明: Mutan | ida已通過RMI   | RMAP,並登 | 錄於RM      | 们合規                    | 鈷精煉廠名單中         | þ.     |               |          |                      |            |           |
| 供應商制裁名單審查紀錄: | 公司                           |                | 子公司                                     | 審查日期:          | 2025/8/5             | 5         | ]   | 註一      |           |                        | 有非必要旅遊          |        |               |          |                      |            |           |
| 審查對象:        |                              | ternational AG | 1. Mutanda M<br>2. Kamoto Co<br>Company | lining         | 利害關係人<br>Ivan Glasen | berg      | 資料來源:外交部  剛果(金夏沙) Democratic Republic of the   剛果民主共和國 Congo, Democratic Republic of   ■ 紅色馨示-不宜前往・宜儘 |         |           | <ul><li>宜儘速離</li></ul> | 境               |        |               |          |                      |            |           |
| 美國OFAC制裁名單   |                              |                |   |                |                      |           | Congo   |         |           |                        | the             |        |               | _        |                      |            |           |
| 歐盟制裁名單       | 歐盟制裁名單 结果說明: 以上審查對象皆不在此制裁名單內 |                |   |                |                      |           |   |         |           |                        |                 |        |               |          |                      |            |           |
| 聯合國制裁名單      |                              |                |   |                |                      |           |   |         |           |                        |                 |        |               |          |                      |            |           |
| 供應商所在地制裁名單   |                              |                |   |                |                      |           |   |         |           |                        |                 |        |               |          |                      |            |           |
| 我司所在地制裁名單    | 結果說明:                        | 以上審查對象皆不       | 在此制裁名單                                  | Ŋ              |                      |           |   |         |           |                        |                 |        |               |          |                      |            |           |

# 2-3 Step 3: Design and implement a strategy to respond to identified risks

# **♦** Risk Mitigation and Strategy

As the suppliers are identified as CAHRAs, we will conduct onsite assessments or communication with the suppliers in accordance with RMI RMAP procedures, to mitigate the risks.

The cobalt suppliers have been communicated by Uranus Chemicals to implement RMI RMAP procedures. As a result, the suppliers agreed to implement RMI RMAP procedures and passed the RMI RMAP third-party certification in April 2021. No actual risks were identified.

## 2-4 Step 4: Carry out independent third-party audit

#### Uranus Chemicals and Cobalt Refinery

Uranus Chemicals has established a Responsible Mineral Supply Chain Due-diligence Management Team since July 2019. Actively collecting the information available from the supply chain per Due-diligence criteria through each functional team. Also, utilizing RMI e-Learning to impart internal training and establish the required procedures and records system for Responsible Mineral Supply Chain Due-diligence, the cobalt refinery of Uranus Chemicals has passed RMI RMAP third-party certification in April 2021. Continuing to accept the annual review by the independent third-party verification agency designated by RMI to satisfy stakeholders internally and externally with conformance of cobalt supply chain criteria and transparency.

◆The 2024 periodic review will be implemented from December 19 to 20, 2024 Main reviewer: SGS Scarlet Wang

#### ♦The results of the regular review in 2024 are as follows: Status In CAP2

The RMI has reviewed the process and results of Uranus Chemicals's Responsible Minerals Assurance Process Assessment for Cobalt (2021), conducted at the following location on the following dates:

| SITE            | Uranus Chemicals                                  |
|-----------------|---|
| ADDRESS         | No. 440, Zhonghua Rd,                             |
| ADDRESS         | Toufen City, , Miaoli County 35154 Chinese Taipei |
| ASSESSMENT DATE | 12/19/2024 - 12/20/2024                           |

Based on the Auditor's findings and the RMI's quality control review process, Uranus Chemicals's conformance is contingent on resolving the items outlined in the CAP tab and reproduced below:

| Question #                       | MFC-2c   |  |  |  |  |
|----------------------------------|--|--|--|--|--|
| Question                         | FOR COBALT GOLD AND PRECIOUS METALS: The business, government, political or military affiliations of those companies and officers within conflict-affected and high-risk areas |  |  |  |  |
| Auditor Conclusion               | Non-conform  |  |  |  |  |
| Finding Summary                  | The auditee does not identify the business, government, political or military affiliations of the exporter and officers within conflict-affected and high-risk areas.          |  |  |  |  |
| Standard Reference               | Section V.B.2  |  |  |  |  |
| Suggested Improvement<br>Measure | Ensure to identify the business, government, political or military affiliations of the exporter and officers within conflict-affected and high-risk areas.                     |  |  |  |  |

| Question #                       | HRS-10a   |  |  |  |  |
|----------------------------------|---|--|--|--|--|
| Question                         | Does this meet the requirements of the respective standard? (Note there may be different requirements for different minerals based on the standard(s) in scope of the assessment. |  |  |  |  |
| Auditor Conclusion               | Non-conform   |  |  |  |  |
| Finding Summary                  | The auditee does not conduct KYC for the logistic company transporting material from Africa port to Taiwan.   |  |  |  |  |
| Standard Reference               | Section V.B.2   |  |  |  |  |
| Suggested Improvement<br>Measure | Ensure to do complete KYC for all actors on high risk supply chain including sanction review.   |  |  |  |  |

| Question #                       | HRS-10c  |  |  |  |
|----------------------------------|--|--|--|--|
| Question                         | Is the process consistently implemented? (Note: demonstrate through review of actual KYC for high-risk supply chains)  |  |  |  |
| Auditor Conclusion Non-conform   |  |  |  |  |
| Finding Summary                  | The auditee does not conduct KYC for the logistic company transporting material from different Africa ports to Taiwan. |  |  |  |
| Standard Reference               | Section V.B.2  |  |  |  |
| Suggested Improvement<br>Measure | Ensure to do complete KYC for all actors on high risk supply chain including sanction review.                          |  |  |  |

♦ The 2025 periodic review is expected to be implemented in November 2025.

#### Suppliers of Raw Material (crude cobalt hydroxide)

The existing supplier of crude cobalt hydroxide raw materials has been identified as a CAHRA through CAHRA risk identification. The Uranus Chemicals actively engages with the raw material supplier regarding responsible mining supply chain compliance requirements. The supplier has agreed to and established an RMI RMAP program management system. The Uranus Chemicals has formulated an action plan corresponding to the identified risk, and the supplier has implemented the RMI RMAP program and obtained independent third-party certification under the RMI RMAP.





- ♦ Kamoto Copper Company was certified by a third party on May 30, 2024.
- Mutanda Mining SPRL was certified by a third party on May 30, 2024.



⋄ Lianyou Resource Co., Ltd. was certified by a third party on October 27, 2023.

#### 2-5 Step 5: Report on supply chain due diligence

Uranus Chemicals Supply Chain Due-diligence Management Report for cobalt has been organized and constituted by the Responsible Mineral Supply Chain Due-diligence Team, and the management representative convened the directors to review the report. Finally, authorized by the Chairman & CEO then published on Uranus Chemicals public official website <a href="https://www.uranuschemicals.com.tw/zh-tw/responsible-minerals-management">https://www.uranuschemicals.com.tw/zh-tw/responsible-minerals-management</a> for stakeholders' best interest. Earnestly welcome any feedback and comment regarding the contents of the Cobalt Supply Chain Due-diligence Management issued by Uranus Chemicals.

## 2-6 Step 6: Social Engagement

Regarding, Uranus Chemicals actively pays attention to and interacts with the neighboring communities around each plant, including suppliers, neighboring communities, government and non-governmental organizations, and other stakeholders to establish partnerships; Uranus Chemicals recognizes that community development is an important part of corporate sustainable development, so actively participates in community affairs, supports community development, and fully demonstrates corporate social responsibility. We are aware of our responsibility to industry, society, and even economic development. Therefore, we continue to carry out our corporate social responsibility with a steady pace and under the premise of social stability, harmony, and sustainable development.

Uranus Chemicals attaches importance to sustainable management and ESG issues that stakeholders care about. From 2022, the corporate social responsibility report (CSR) has been adjusted to a sustainability report. For more information on community participation and ESG sustainability reports, please refer to CoreMax Group Sustainability Report. <a href="https://www.coremaxcorp.com/zh-tw/reports">https://www.coremaxcorp.com/zh-tw/reports</a>.

# Community Engagement and Public Welfare Participation

Coremax Group annually procures employee welfare gift boxes during festive seasons by collaborating with social welfare organizations to purchase charity gift boxes from these institutions, thereby providing concrete support to disadvantaged groups.

In 2024, a major fire incident occurred in the local community, resulting in the unfortunate sacrifice of brave firefighters. In 2024, Coremax Group sponsored approximately NTD 4.63 million, with detailed expenditures as follows.

| Activity   |           | Beneficiary Unit  |
|--|-----------|---|
| Donation of Fire Scene Cameras   | 60,000    | Hsinchu County Fire Department, Xinggong<br>Division  |
| 2024 Winter Relief – Toufen City Office  | 100,000   | Low- and middle-Revenues households,<br>disadvantaged families, and elderly<br>individuals living alone in Toufen City            |
| 2024 Winter Relief – Luzhu Village and Minsheng<br>Village                                     | 88,000    | Low- and middle-Revenues households,<br>disadvantaged families, and elderly living<br>alone in Luzhu Village and Minsheng Village |
| Miaoli County Fire Department Procurement of Disaster Rescue Equipment                         | 1,000,000 | Miaoli County Fire Department   |
| Global Views Donates Books to Rural Elementary<br>Schools                                      | 400,000   | Junior high and elementary school students<br>in Toufen City and remote areas of Miaoli<br>County                                 |
| Purchase of Mid-Autumn Festival Charity Gift<br>Boxes  | 228,790   | Stray cats (158 cans of cat food)   |
| 2024 Toufen City Streetlight Adoption Program  | 50,000    | Pedestrian and vehicular traffic on Zhonghua<br>Road  |
| Miaoli County Government – Miaoli County<br>Learning City Initiative                           | 100,000   | Miaoli County Residents   |
| Donation to Toufen Volunteer Fire Brigade, Miaoli<br>County                                    | 12,000    | Toufen Volunteer Fire Brigade, Miaoli County / Residents of Toufen City   |
| Support Local Professional Sports Team   | 2,500,000 | Hsinchu Lioneers Basketball Team  |
| Basketball Charity Event in Collaboration with Hsinchu Lioneers – Donation of Sports Equipment | 100,000   | National Hsinchu Special Education School   |
| Total  | 4,638,790 |   |

## 1. Caring for Disadvantaged Group

In 2024, Coremax Group collaborated with the local professional basketball team, the Hsinchu Lioneers, to jointly organize a public welfare event, inviting students from the Hsinchu County Special Education School to participate. This initiative provided children with physical and mental disabilities the opportunity to experience the joy and sense of achievement that sports can offer in a safe and inclusive environment.

Coremax based on the actual needs of the schools, donated a range of sports equipment to special education schools, aiming to enhance the sports learning environment and enable students to enjoy regular and inclusive sports opportunities in their daily lives.





# 2. Supporting Rural Education Program

Since 2018, CoreMax Group has supported the "Planting the Seeds of Reading" program by donating magazines to Miaoli County schools. This initiative aims to cultivate a love for learning and enhance comprehension and judgment skills among students. By the end of 2024, we've donated 12,504 magazines to 54 schools, and we remain committed to supporting rural education in the future.











# **External Association and Organization Participation**

| No | Association Name                      | Participation Approach |
|----|---------------------------------------|------------------------|
| 1  | <b>Taiwan Battery Association</b>     | Member                 |
| 2  | Cobalt Institute                      | Member                 |
| 3  | Hsinchu Industrial Park Manufacturers | Director, Member       |
|    | Association                           |                        |
| 4  | Hsinchu Industrial Association        | Member                 |
| 5  | Chinese Human Resource Managed        | Member                 |
|    | <b>Association Foundation</b>         |                        |
| 6  | Toufen Industrial Park Manufacturers' | Member                 |
|    | Association                           |                        |
| 7  | Labor-Management Association          | Member                 |

# **Uranus Chemicals Corporation**

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Jim C. Ho Chairman & CEO